

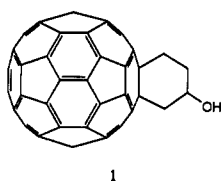
Photophysical Characterization and Singlet Oxygen Yield of a Dihydrofullerene

Jamey L. Anderson, Yi-Zhong An, Yves Rubin, and Christopher S. Foote*

Department of Chemistry and Biochemistry
University of California, Los Angeles
Los Angeles, California 90024-1569

Received June 13, 1994

Many C₆₀ adducts, ("dihydrofullerenes") have been described.¹⁻¹² We wished to study how the photophysical properties of the fullerene core are perturbed by functionalization,¹³ since the enhanced solubility in polar solvents of these derivatives suggests potential uses in biological systems where such properties such as singlet oxygen generation can be exploited. We now report the photophysical properties of a model dihydrofullerene, the C₆₀ adduct 1,9-(4-hydroxycyclohexano)buckminsterfullerene¹ (1), summarized in Table 1.



1

Unlike C₆₀, which has its longest wavelength absorption at 620 nm,¹⁸ the dihydrofullerenes have a weak absorption maximum in benzene at 708 nm ($\epsilon \approx 400 \text{ M}^{-1} \text{ cm}^{-1}$).¹ Although weak, this absorption could be important for photobiological activity, since near-IR light penetrates tissue more efficiently than shorter wavelength light. Compound 1 gives very weak fluorescence emission ($\lambda_{\text{max}} = 715 \text{ nm}$) with a quantum yield of <0.001; the excitation spectrum is similar to the absorption spectrum.¹ Using the intersection of the absorption and emission maxima, the singlet energy is estimated to be 40.2 kcal/mol, almost 6 kcal/mol lower

(1) An, Y. Z.; Anderson, J. L.; Rubin, Y. *J. Org. Chem.* **1993**, *58*, 4799-4801.

(2) Gügel, A.; Kraus, A.; Spickermann, J.; Belik, P.; Müllen, K. *Angew. Chem., Int. Ed. Engl.* **1994**, *33*, 559-561.

(3) Diederich, F.; Ulrich, J.; Gramlich, V.; Herrmann, A.; Ringsdorf, H.; Thilgen, C. *Helv. Chim. Acta* **1993**, *76*, 2445-2453.

(4) Rubin, Y.; Khan, S.; Freedberg, D. I.; Yeretzian, C. *J. Am. Chem. Soc.* **1993**, *115*, 344-345.

(5) Khan, S. I.; Oliver, A. M.; Paddon-Row, M. N.; Rubin, Y. *J. Am. Chem. Soc.* **1993**, *115*, 4919-4920.

(6) Belik, P.; Gügel, A.; Spickermann, J.; Müllen, K. *Angew. Chem.* **1993**, *32*, 78-80.

(7) Prato, M.; Suzuki, T.; Forouadian, H.; Li, Q.; Khemani, K.; Wudl, F.; Leonetti, J.; Little, R. D.; White, T.; Rickborn, B.; Yamago, S.; Nakamura, E. *J. Am. Chem. Soc.* **1993**, *115*, 1594-1595.

(8) Wudl, F. *Acc. Chem. Res.* **1992**, *25*, 157-161.

(9) Elemen, Y.; Silverman, S. K.; Sheu, C.; Kao, M.; Foote, C. S.; Alvarez, M. M.; Whetten, R. L. *Angew. Chem., Int. Ed. Engl.* **1991**, *31*, 351-353.

(10) Zhang, X.; Romero, A.; Foote, C. S. *J. Am. Chem. Soc.* **1993**, *115*, 11024-11025.

(11) Zhang, X.; Willems, M.; Foote, C. S. *Tetrahedron Lett.* **1993**, *34*, 8187-8188.

(12) Akasaka, T.; Ando, W.; Kobayashi, K.; Nagase, S. *J. Am. Chem. Soc.* **1993**, *115*, 10366-10367.

(13) Zhu, Y.; Koefod, R. S.; Devadoss, C.; Shapley, J. R.; Schuster, G. B. *Inorg. Chem.* **1992**, *31*, 3505-3506.

(14) Lee, W. A.; Graetzel, M.; Kalyanasundaram, K. *Chem. Phys. Lett.* **1984**, *107*, 308-313.

(15) Arbogast, J. W.; Darmanyan, A. P.; Foote, C. S.; Rubin, Y.; Diederich, F. N.; Alvarez, M. M.; Anz, S. J.; Whetten, R. L. *J. Phys. Chem.* **1991**, *95*, 11-12.

(16) Redmond, R. W.; Braslavsky, S. E. *Chem. Phys. Lett.* **1988**, *148*, 523-529.

(17) McLean, A. J.; McGarvey, D. J.; Truscott, T. G.; Lambert, C. R.; Land, E. J. *J. Chem. Soc., Faraday Trans.* **1990**, *86*, 3075-3080.

(18) Ajie, H.; Alvarez, M. M.; Anz, S. A.; Beck, R. D.; Diederich, F. N.; Fostiropoulos, K.; Huffman, D. R.; Kratschmer, W.; Rubin, Y.; Schriver, K. E.; Sensharma, D.; Whetten, R. L. *J. Phys. Chem.* **1990**, *94*, 8630-8633.

Table 1. Photophysical Properties of Dihydrofullerene (1) and C₆₀

property	dihydrofullerene (1)	C ₆₀ ^a
E _S	40.2 kcal/mol	46.1 kcal/mol
E _T	33.5 ± 0.6 kcal/mol ^b	36.3 kcal/mol ⁱ
ε _T (λ _{max})	(4.2 ± 0.2) × 10 ³ M ⁻¹ cm ⁻¹ (700 nm) ^c	2.0 × 10 ⁴ M ⁻¹ cm ⁻¹ (750 nm) ⁱ
τ _T	23 ± 4 μs ^d	40 μs
k _q (O ₂)	(1.8 ± 0.2) × 10 ⁹ M ⁻¹ s ⁻¹	2 × 10 ⁹ M ⁻¹ s ⁻¹
Φ _T	(6 ± 4) × 10 ⁻⁴ ^e	10 ⁻⁵ -10 ⁻⁴ ⁱ
Φ _Δ (355 nm)	0.72 ± 0.05 ^f	0.76
Φ _Δ (532 nm)	0.84 ± 0.05 ^g	0.96
k _q (¹ O ₂)	(9.7 ± 0.5) × 10 ⁵ M ⁻¹ s ⁻¹ ^h	(5 ± 2) × 10 ⁵ M ⁻¹ s ⁻¹

^a Adapted from ref 14, unless otherwise noted. ^b Average of triplet energies of TPP, a lower limit, and E_T(TPP) + E_a, an upper limit (see discussion); energy transfer measurements done in C₆H₆ or THF. ^c At 700 nm, assuming Φ_T(1) = 1. ^d In argon-saturated solutions, [1] ≈ 5 × 10⁻⁵ M. ^e In benzene, using TPP (Φ_Δ = 0.11)¹⁴ as standard. ^f Average of eight determinations in air-saturated C₆D₆, using C₆₀ (Φ_Δ = 0.76)¹⁵ and acridine (Φ_Δ = 0.84)¹⁶ as standards. ^g Average of eight determinations in air-saturated C₆D₆, using C₆₀ (Φ_Δ = 0.96)¹⁵ and TPP (Φ_Δ = 0.55)¹⁷ as standards. ^h Combined physical and chemical quenching of ¹O₂ by 1 in air-saturated C₆D₆ with C₇₀ (λ_{ex} = 532 nm) as sensitizer. ⁱ See discussion in ref 34.

than that for C₆₀. Phosphorescence was not observed, despite the high triplet yields discussed below.

Compound 1 produces singlet oxygen efficiently, with a quantum yield (Φ_Δ) in benzene-*d*₆ of 0.72 ± 0.05 (355 nm) and 0.86 ± 0.05 (532 nm), 5-10% lower than those for C₆₀.¹⁹ The wavelength dependence of the quantum yield resembles that of C₆₀;¹⁵ the yields are lower limits for triplet production, Φ_T. Singlet oxygen is quenched by 1 with a rate constant of (9.7 ± 0.5) × 10⁵ M⁻¹ s⁻¹, almost twice that of C₆₀.

The triplet-triplet absorption spectrum is remarkably similar to that of C₆₀ (Figure 1) with maxima near 400 and 700 nm. The differential extinction coefficient at 700 nm (ε_T - ε₀) is (3.8 ± 0.2) × 10³ M⁻¹ cm⁻¹, estimated by the relative actinometry method,²⁰ which compares the optical density of a standard (triplet benzophenone (BP)) with that of triplet 1 in solutions optically matched at the excitation wavelength (355 nm), according to eq 1, where OD_T is the triplet optical density at 700 nm for 1 and

$$(\epsilon_T - \epsilon_0) = \frac{OD_T \epsilon_T^{BP} (1 - 10^{-OD^{BP}}) \phi_T^{BP}}{OD_T^{BP} (1 - 10^{-OD}) \phi_T} \quad (1)$$

532 nm for BP; OD is the ground state optical density of each solution at the excitation wavelength; ε_T^{BP} is the triplet extinction coefficient of benzophenone at 532 nm (7630 M⁻¹ cm⁻¹);²¹ and φ_T is the triplet quantum yield, 1.0 for benzophenone.²² This extinction coefficient is a lower limit: since φ_T must be ≥ Φ_Δ, it cannot be less than 85%; given the low fluorescence quantum yield, it could well be unity.²³ When the ground state extinction coefficient at 700 nm (ε₀) is added, the triplet extinction coefficient is found to be (4.2 ± 0.2) × 10³ M⁻¹ cm⁻¹. The ground state and triplet extinction coefficients found for 1 are considerably lower than those for C₆₀.²⁴ The triplet lifetime in Ar-purged 5 × 10⁻⁵ M solutions of 1 at low laser power is 23 ± 4 μs. This value may be limited by T-T annihilation or T-S₀ quenching, which were

(19) The method and apparatus used for singlet oxygen quantum yield determination are described in the following: Dunn, R. C.; Anderson, J. L.; Foote, C. S.; Simon, J. D. *J. Am. Chem. Soc.* **1993**, *115*, 5307 and references cited therein.

(20) Carmichael, I.; Hug, G. L. *J. Phys. Chem. Ref. Data* **1986**, *15*, 1-250.

(21) Bensasson, R. L.; Land, E. J. *Trans. Faraday Soc.* **1971**, *67*, 1904-1915.

(22) Lamola, A. A.; Hammond, G. S. *J. Chem. Phys.* **1965**, *43*, 2129-2135.

(23) Terazima, M.; Hirota, N.; Shinohara, H.; Saito, Y. *J. Phys. Chem.* **1991**, *95*, 9080-9085.

(24) Biczok, L.; Linschitz, H.; Walter, R. I. *Chem. Phys. Lett.* **1992**, *195*, 339-346.

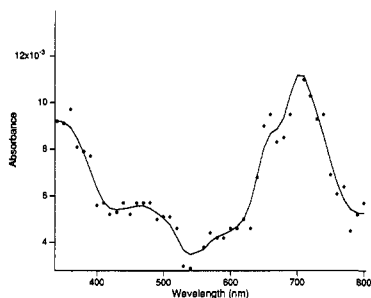


Figure 1. Triplet-triplet absorption spectrum of **1** in toluene (3.0×10^{-5} M), obtained by 355 nm excitation (5.0 mJ/pulse).

not investigated. The triplet was efficiently quenched by oxygen with a rate constant, $k_q(\text{O}_2)$, of $(1.8 \pm 0.2) \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$, similar to the value observed for C_{60} .¹⁵

The triplet energy of **1** was estimated by triplet-triplet energy transfer. Addition of **1** efficiently quenches the triplets of acridine ($E_T = 45.3 \text{ kcal/mol}$)²⁵ and hypericin ($E_T = 37.7 \text{ kcal/mol}$)²⁶ with rate constants of $(3.5 \pm 0.2) \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$ and $(3.8 \pm 0.3) \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$, respectively. The quenching rate constant of tetraphenylporphyrine (TPP) triplet ($E_T = 33.0 \text{ kcal/mol}$)¹⁷ by **1** was $(1.5 \pm 0.1) \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$, suggesting that energy transfer is nearly isothermal. The activation energy for this energy transfer, estimated by plotting $\ln k_q$ vs $1/T$, is 1.1 kcal/mol. Energy transfer from triplet **1** to β -carotene ($E_T = 20.3 \text{ kcal/mol}$),²⁷ zinc phthalocyanine ($E_T = 26.1 \text{ kcal/mol}$),²⁸ and tetracene ($E_T = 29.3 \text{ kcal/mol}$)²⁹ was very fast, with quenching rate constants of $(4.5 \pm 0.3) \times 10^9$, $(4.9 \pm 0.4) \times 10^9$, and $(5.6 \pm 0.4) \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$, respectively, indicating substantial exothermicity. Energy transfer was confirmed in each case by observation of the triplet-triplet absorption of the triplet acceptor.

The triplet energy of **1** must therefore be near or slightly above that of TPP. Since k_q for energy transfer from triplet TPP to C_{60} is $(3.5 \pm 0.3) \times 10^7 \text{ M}^{-1} \text{ s}^{-1}$,¹⁵ an order of magnitude slower than that with **1**, we conclude that the triplet energy level of **1** must be lower than that of C_{60} ($E_T = 36.3 \text{ kcal/mol}$).³⁰ A reasonable estimate, considering the upper limit defined by the E_a , is 33–34 kcal/mol. Application of the Sandros equation,³¹ which requires a comparison of several endothermic energy transfer rates,³² would give a better estimate of the triplet energy and is currently being explored.³³ The S_1 - T_0 splitting of **1** is therefore $\approx 6 \text{ kcal/mol}$, smaller than the $\sim 10 \text{ kcal/mol}$ splitting observed with C_{60} .³⁴

As with C_{60} ,³⁵ aromatic amine donors reduce triplet **1** in benzonitrile. *N,N*-Dimethylaniline (DMA) quenched triplet **1** with a rate constant of $(2.5 \pm 0.5) \times 10^8 \text{ M}^{-1} \text{ s}^{-1}$, an order of magnitude slower than that for triplet C_{60} .³⁵ The electron transfer mechanism was confirmed by observation of the amine radical cation at 450 nm.³⁶ The radical anion of **1** has absorption in the

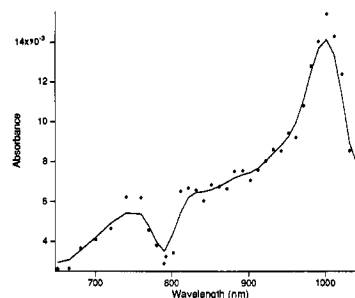


Figure 2. Transient absorption spectrum of the radical anion of **1**, obtained by 430 nm irradiation (5.0 mJ/pulse) of argon-saturated solutions of **1** ($2 \times 10^{-4} \text{ M}$) and DMA (0.012 M) in benzonitrile.

near-IR region, with maxima at 740 and 1000 nm (Figure 2), similar to that of C_{60} . The lower energy of triplet dihydrofullerene ($\sim 0.1 \text{ V}$ below C_{60}) as well as its smaller ground state reduction potential (-1.09 V for **1**, -0.85 V for C_{60} vs ferrocene/ferrocenium in THF)³⁷ results in a triplet reduction potential for **1** near 0.38 V vs ferrocene/ferrocenium in benzonitrile.³⁸ This is approximately 0.3 V smaller than that for C_{60} and explains the decreased electron transfer rate between DMA ($E_{1/2} \sim 0.25 \text{ V}$ vs ferrocene/ferrocenium in benzonitrile)³⁹ and triplet **1**.

Significant absorption spectral changes of **1** (loss of structure at 710 and 450 nm and across the visible region) were observed during both energy transfer and electron transfer triplet quenching studies. The most significant changes occurred with electron-rich triplet quenchers, such as anthracene, tetracene, and rubrene, which could undergo a [4 + 2] cycloaddition with **1**; this reaction has been observed frequently with dienes or polycyclic aromatics,^{4,40–43} but photochemical addition has not been discussed. *N,N*-Dimethylaniline also reacts with **1**, as shown by absorption changes similar to those described above.

Conclusions. Dihydrofullerene **1** undergoes photoprocesses very similar to those of C_{60} ,^{15,35} however, there are slightly lower singlet and triplet energies, triplet-triplet extinction coefficients, singlet oxygen quantum yields, and triplet reduction potentials. Other carbon-substituted dihydrofullerenes have properties similar to those of **1**, including efficient singlet oxygen generation, near-IR absorption, and reduction potentials.^{3,10,44,45} The solubility in polar solvents and the photophysical properties of some of these dihydrofullerenes make them good candidates for photodynamic agents^{46–48} in cancer or viral therapy.

Acknowledgment. Supported by NIH Grant GM20080.

(37) Lawson, J. M.; Paddon-Row, M. N., personal communication. Experiments performed using a BAS 100B electroanalyzer using a glassy carbon electrode, a platinum auxiliary, and a Ag/AgCl/KCl reference electrode. Measurements done on 1 mM solutions in THF containing 0.1 M tetrabutylammonium fluoride as a supporting electrolyte under N_2 atmosphere.

(38) The triplet reduction potentials for C_{60} (0.70 V vs ferrocene/ferrocenium) and **1** in benzonitrile are the sum of the triplet energies and the ground state reduction potentials, corrected from THF to benzonitrile using the ferrocene/ferrocenium couple as a reference according to the values reported in the following: Allemand, P. M.; Koch, A.; Wudl, F.; Rubin, Y.; Diederich, F.; Alvarez, M. M.; Anz, S. J.; Whetten, R. L. *J. Am. Chem. Soc.* **1991**, *113*, 1050–1051.

(39) Dvorak, V.; Nemeč, I.; Zyka, J. *J. Microchem.* **1967**, *12*, 99–116.

(40) Schlueter, J. A.; Seaman, J. M.; Taha, S.; Cohen, H.; Lykke, K. R.; Wang, H. H.; Williams, J. M. *J. Chem. Soc., Chem. Commun.* **1993**, 972–974.

(41) Tsuda, M.; Ishida, T.; Nogami, T.; Kurono, S.; Ohashi, M. *J. Chem. Soc., Chem. Commun.* **1993**, 1296–1298.

(42) Rotello, V. M.; Howard, J. B.; Yadav, T.; Conn, M. M.; Viani, E.; Giovane, L. M.; Lafleur, A. L. *Tetrahedron Lett.* **1993**, *34*, 1561–1562.

(43) Hammond, G. S.; Kuck, U. J., Eds. *Fullerenes: Synthesis, Properties, and Chemistry of Large Carbon Clusters. ACS Symp. Ser.* **1992**, *481*, 161–175.

(44) Tokuyama, H.; Nakamura, E. *J. Org. Chem.* **1994**, *59*, 1135–1138.

(45) Suzuki, T.; Maruyama, Y.; Akasaka, T.; Ando, W.; Kobayashi, K.; Nagase, S. *J. Am. Chem. Soc.* **1994**, *116*, 1359–1363.

(46) Chen, C. B.; An, Y. Z.; Sigman, D. S.; Foote, C. S.; Rubin, Y. in preparation.

(47) Tokuyama, H.; Yamago, S.; Nakamura, E.; Shiraki, T.; Sugiura, Y. *J. Am. Chem. Soc.* **1993**, *115*, 7918–7919.

(48) Friedman, S. H.; Decamp, D. L.; Sijbesma, R. P.; Srdanov, G.; Wudl, F.; Kenyon, G. L. *J. Am. Chem. Soc.* **1993**, *115*, 6506–6509.

(25) Birks, J. B. *Photophysics of Aromatic Molecules*; Wiley-Interscience: New York, 1970.

(26) Meyer, J.; Schoppel, G. *J. Photochem. Photobiol. B Biol.* **1993**, *20*, 133–137.

(27) Haley, J. L.; Fitch, A. N.; Goyal, R.; Lambert, C.; Truscott, T. G.; Chacon, J. N.; Stirling, D.; Schalch, W. *J. Chem. Soc., Chem. Commun.* **1992**, 1175–1176.

(28) Vincett, P. S.; Voight, E. M.; Rieckhoff, K. E. *J. Chem. Phys.* **1971**, *55*, 4131–4140.

(29) McGlynn, S.; Padahye, M.; Kasha, M. *J. Phys. Chem.* **1955**, *23*, 593–595.

(30) Zeng, Y.; Biczok, L.; Linschitz, H. *J. Phys. Chem.* **1992**, *96*, 5237–5239.

(31) Sandros, K. *Acta Chem. Scand.* **1964**, *18*, 2355–2374.

(32) Ford, W. E.; Rihter, B. D.; Rodgers, M. A. J.; Kenney, M. E. *J. Am. Chem. Soc.* **1989**, *111*, 2363–2363.

(33) Thus far, application of the Sandros equation has been hindered by the lack of a suitable low triplet energy sensitizer. All sensitizers tried have significant photoreactivity and/or strongly overlapping absorption with **1**.

(34) Foote, C. S. In *Topics in Current Chemistry: Electron Transfer I*; Mattay, J., Ed.; Springer-Verlag: Berlin, 1994; pp 347–363.

(35) Arbogast, J. W.; Foote, C. S.; Kao, M. *J. Am. Chem. Soc.* **1992**, *114*, 2277–2279.

(36) Shida, T.; Hamill, W. H. *J. Chem. Phys.* **1966**, *44*, 2369–2374.